



KYTE BROKING LIMITED

CONFLICTS OF INTEREST – Policy summary

January 2025



POLICY SUMMARY

This document summarises the policy maintained by Kyte Broking Limited ('the Firm') to manage conflicts of interest.

The Firm is committed to its responsibilities towards promoting the integrity and stability of the wholesale markets in which it operates. Management of conflicts is an important part of demonstrating our commitment.

BACKGROUND

A Conflict of interest can be defined as

- a situation where the potential exists to undermine the impartiality of an entity or person due to the possibility of a clash between that entity's or person's self-interest and that of another party; and
- a situation in which a party's responsibility to a second party limits its ability to discharge its responsibility to a third party; and
- a situation where there exist divergent interests between clients.

Conflicts of Interest may arise where:

- The interests of the Firm conflict with those of a client;
- The interests of one client of the Firm conflicts with those of another client of the Firm;
- The Firm has obtained confidential information relating to an existing or former client which would be of value to another part of the Firm or to other clients of the Firm;
- The interests of any of the Firm's employees, agents, tied agents and Appointed Representatives with the interests of a client of the Firm or the interests of the Firm itself; and
- The interests of a client of one business unit or legal entity within the Firm's group conflict with the interests of a client of another business unit or legal entity within the Firm's group.

OUR POLICY

The Firm recognises the importance of identifying and preventing or managing conflicts of interest and maintains controls to do so. Where internal arrangements to prevent or manage conflicts of interest are not sufficient to ensure, with reasonable confidence, that risks of damage to the interests of the client will be prevented, then a disclosure may be made of the relevant conflict. The disclosure of a conflict of interest by the Firm to a Client does not exempt the Firm from the obligation to maintain and operate effective organisational arrangements and controls.

For further information, please contact compliance@kytebroking.com